

4-02-01

4

31064 U.S. PTO  
03/30/01

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 103336.00004

First Inventor Robert F. Hofmann

Title Uses of Targeted Oxidative Therapeutic Formulation in Arteriosclerosis

Express Mail Label No. EL619189392US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets ]
5. Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_ /

Prior application information:

Examiner \_\_\_\_

Group / Art Unit:

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	T. Ling Chwang				
	Jackson Walker L.L.P.				
Address	2435 N. Central Expressway, Suite 600				
City	Richardson	State	TX	Zip Code	75080
Country	USA	Telephone	972-744-2919	Fax	972-744-2909

Name (Print/Type)	T. Ling Chwang	Registration No. (Attorney/Agent)	33,590
Signature	<i>T. Ling Chwang</i>	Date	March 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/CS 263021-1



NON-PROVISIONAL APPLICATION FOR U. S. PATENT UNDER 37 CFR  
1.53(b)

TRANSMITTAL FORM

Attorney Docket No. 103336.00004  
Assistant Commissioner for Patents  
Washington, D. C. 20231

"EXPRESS MAILING" Mailing Label No. EL619189392US.  
Date of Deposit: March 30, 2001. I hereby certify that this paper  
is being deposited with the U.S. Postal Service, Express Mail  
Post Office to Addressee Service under 37 CFR 1.10 on the  
date shown above and is addressed to the Assistant  
Commissioner for Patents, Washington, D.C. 20231.

Sir:

Transmitted herewith for filing is the  
patent application of:

Printed Name: M. Aiford

Signature: M. Aiford

Inventor(s): Robert F. Hofmann and Robert H. Carpenter

For: **USES OF TARGETED OXIDATIVE THERAPEUTIC FORMULATION IN  
ARTERIOSCLEROSIS**

Enclosed are:

- ☐ 0 Sheets of informal drawings and 30 pages of Specification (including Abstract)  
☐ A Declaration/Power of Attorney  
☐ Assignment with form PTO 1595  
☒ X Small Entity Statement

FEE CALCULATION					FEE
	NUMBER		NUMBER EXTRA	RATE	BASIC FEE \$ 355.00
Total Claims	55	-20 =	35	X \$9 =	315.00
Independent Claims	4	- 3 =	1	X \$40 =	40.00
Total Filing Fee					\$710.00

All correspondence related to this application may be addressed to the undersigned at Jackson Walker,  
L.L.P., 2435 North Central Expressway, Suite 600, Richardson, Texas 75080.

March 30, 2001

Date

T. Ling Chwang  
T. Ling Chwang  
Reg. No. 33,590

March 30, 2001